Chapter 4: Threads
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- Overview
- Multicore Programming
- Multithreading Models
- Thread Libraries
- Implicit Threading
- Threading Issues
- Operating System Examples
Objectives

- To introduce the notion of a thread—a fundamental unit of CPU utilization that forms the basis of multithreaded computer systems
- To discuss the APIs for the Pthreads, Windows, and Java thread libraries
- To explore several strategies that provide implicit threading
- To examine issues related to multithreaded programming
- To cover operating system support for threads in Windows and Linux
Motivation

- Most modern applications are multithreaded
- Threads run within application
- Multiple tasks with the application can be implemented by separate threads
  - Update display
  - Fetch data
  - Spell checking
  - Answer a network request
- Process creation is heavy-weight while thread creation is light-weight
- Can simplify code, increase efficiency
- Kernels are generally multithreaded
Single and Multithreaded Processes

- Single-threaded process
  - Code
  - Data
  - Files
  - Registers
  - Stack

- Multithreaded process
  - Code
  - Data
  - Files
  - Registers
  - Registers
  - Registers
  - Stack
  - Stack
  - Stack

thread → thread

single-threaded process

multithreaded process
Multithreaded Server Architecture

1. Request
2. Create new thread to service the request
3. Resume listening for additional client requests
Benefits

- **Responsiveness** – may allow continued execution if part of process is blocked, especially important for user interfaces

- **Resource Sharing** – threads share resources of process, easier than shared memory or message passing

- **Economy** – cheaper than process creation, thread switching lower overhead than context switching

- **Scalability** – process can take advantage of multiprocessor architectures
Multicore Programming

- **Parallelism** implies a system can perform more than one task simultaneously
- **Concurrency** supports more than one task making progress
  - Single processor / core, scheduler providing concurrency
- Types of parallelism
  - **Data parallelism** – distributes subsets of the same data across multiple cores, same operation on each
  - **Task parallelism** – distributing threads across cores, each thread performing unique operation

- As the number of threads grows, so does architectural support for threading
  - CPUs have cores as well as **hardware threads**
  - Consider Oracle SPARC T4 with 8 cores, and 8 hardware threads per core
Concurrency vs. Parallelism

- Concurrent execution on single-core system:

  
  ![Diagram of concurrent execution on single-core system]

- Parallelism on a multi-core system:

  
  ![Diagram of parallelism on a multi-core system]
Multicore Programming

- Multicore or multiprocessor systems putting pressure on programmers to adapt their applications to support multithreading
- Challenges include:
  - Dividing activities – into separate and concurrent tasks
  - Balance – must try to get equivalent work for tasks
  - Data splitting – to avoid data collisions
  - Data dependency – synchronization for data dependency
  - Testing and debugging
Amdahl’s Law

- Identifies performance gains from adding additional cores to an application that has both serial and parallel components
- $S$ is serial portion
- $N$ processing cores

$$speedup \leq \frac{1}{S + \frac{(1-S)}{N}}$$

- E.g., if application is 75% parallel / 25% serial
  - moving from 1 to 2 cores results in speedup of 1.6 times
  - of 2.29 times with 4 cores
- As $N$ approaches infinity, speedup approaches $1 / S$

Serial portion of an application has disproportionate effect on performance gained by adding more cores

- But does the law take into account contemporary multicore systems?
User Threads and Kernel Threads

- **User threads** - management done by user-level threads library
- Three primary thread libraries:
  - POSIX Pthreads
  - Win32 threads
  - Java threads

- **Kernel threads** - supported by the Kernel
- Examples – virtually all general purpose operating systems, including:
  - Windows
  - Solaris
  - Linux
  - Tru64 UNIX
  - Mac OS X
Multithreading Models

- Must establish a relationship between user threads and kernel threads

- Three models:
  - Many-to-One
  - One-to-One
  - Many-to-Many
Many-to-One

- Many user-level threads mapped to single kernel thread
- One thread blocking causes all to block
- Multiple threads may not run in parallel on multicore system because only one may access the kernel at a time

- Few systems currently use this model

- Examples:
  - Solaris Green Threads
  - GNU Portable Threads
One-to-One

- Each user-level thread maps to kernel thread
- Creating a user-level thread creates a kernel thread
- More concurrency than many-to-one
- Number of threads per process is sometimes restricted due to overhead of creating kernel threads

Examples
- Windows NT/XP/2000
- Linux
- Solaris 9 and later
Many-to-Many Model

- Allows many user level threads to be mapped to many (smaller or equal number of) kernel threads
- Allows the operating system to create a sufficient number of kernel threads, depending on its hardware configuration
- Solaris prior to version 9
- Windows NT/2000 with the ThreadFiber package
Two-level Model

- Similar to M:M, except that it allows a user thread to be **bound** to a kernel thread

- Examples
  - IRIX
  - HP-UX
  - Tru64 UNIX
  - Solaris 8 and earlier
Thread Libraries

- **Thread library** provides programmer with API for creating and managing threads

- Two primary ways of implementing
  - Library entirely in user space
  - Kernel-level library supported by the OS (a thread function call results in a system call to the kernel)
Pthreads

- May be provided either as user-level or kernel-level

- A POSIX standard (IEEE 1003.1c) API for thread creation and synchronization

- *Specification*, not *implementation*

- API specifies behavior of the thread library, implementation is up to development of the library

- Common in UNIX operating systems (Solaris, Linux, Mac OS X)
Pthreads Example

```c
#include <pthread.h>
#include <stdio.h>

int sum; /* this data is shared by the thread(s) */
void *runner(void *param); /* threads call this function */

int main(int argc, char *argv[]) 
{
    pthread_t tid; /* the thread identifier */
    pthread_attr_t attr; /* set of thread attributes */

    if (argc != 2) {
        fprintf(stderr,"usage: a.out <integer value>\n");
        return -1;
    }
    if (atoi(argv[1]) < 0) {
        fprintf(stderr,"%d must be >= 0\n",atoi(argv[1]));
        return -1;
    }
```
/* get the default attributes */
pthread_attr_init(&attr);
 /* create the thread */
pthread_create(&tid,&attr,runner,argv[1]);
 /* wait for the thread to exit */
pthread_join(tid,NULL);

printf("sum = %d\n",sum);
}

/* The thread will begin control in this function */
void *runner(void *param)
{
    int i, upper = atoi(param);
    sum = 0;

    for (i = 1; i <= upper; i++)
        sum += i;

    pthread_exit(0);
}

**Figure 4.9** Multithreaded C program using the Pthreads API.
#define NUM_THREADS 10

/* an array of threads to be joined upon */
pthread_t workers[NUM_THREADS];

for (int i = 0; i < NUM_THREADS; i++)
    pthread_join(workers[i], NULL);

Figure 4.10  Pthread code for joining ten threads.
Win32 API  Multithreaded C Program

#include <windows.h>
#include <stdio.h>
DWORD Sum; /* data is shared by the thread(s) */

/* the thread runs in this separate function */
DWORD WINAPI Summation(LPVOID Param)
{
    DWORD Upper = *(DWORD*)Param;
    for (DWORD i = 0; i <= Upper; i++)
    {
        Sum += i;
    }
    return 0;
}

int main(int argc, char *argv[])
{
    DWORD ThreadId;
    HANDLE ThreadHandle;
    int Param;

    if (argc != 2) {
        fprintf(stderr,"An integer parameter is required\n");
        return -1;
    }
    Param = atoi(argv[1]);
    if (Param < 0) {
        fprintf(stderr,"An integer >= 0 is required\n");
        return -1;
    }
/* create the thread */
ThreadHandle = CreateThread(
    NULL, /* default security attributes */
    0,  /* default stack size */
    Summation, /* thread function */
    &Param, /* parameter to thread function */
    0,  /* default creation flags */
    &Threadld); /* returns the thread identifier */

if (ThreadHandle != NULL) {
    /* now wait for the thread to finish */
    WaitForSingleObject(ThreadHandle, INFINITE);

    /* close the thread handle */
    CloseHandle(ThreadHandle);

    printf("sum = %d\n", Sum);
}

Java Threads

- Java threads are managed by the JVM
- Typically implemented using the threads model provided by underlying OS
- Java threads may be created by either:
  - Extending Thread class
  - Implementing the Runnable interface

```java
public interface Runnable
{
    public abstract void run();
}
```
class Sum
{
    private int sum;

    public int getSum()
    {
        return sum;
    }

    public void setSum(int sum)
    {
        this.sum = sum;
    }
}

class Summation implements Runnable
{
    private int upper;
    private Sum sumValue;

    public Summation(int upper, Sum sumValue)
    {
        this.upper = upper;
        this.sumValue = sumValue;
    }

    public void run()
    {
        int sum = 0;
        for (int i = 0; i <= upper; i++)
        {
            sum += i;
            sumValue.setSum(sum);
        }
    }
}
Java Multithreaded Program (cont.)

```java
public class Driver {
    public static void main(String[] args) {
        if (args.length > 0) {
            if (Integer.parseInt(args[0]) < 0)
                System.err.println(args[0] + " must be >= 0.");
            else {
                Sum sumObject = new Sum();
                int upper = Integer.parseInt(args[0]);
                Thread thrd = new Thread(new Summation(upper, sumObject));
                thrd.start();
                try {
                    thrd.join();
                    System.out.println("The sum of " + upper + " is " + sumObject.getSum());
                } catch (InterruptedException ie) { }
            }
        } else
            System.err.println("Usage: Summation <integer value>"));
    }
}
```
Implicit Threading

- Growing in popularity as numbers of threads increase, program correctness more difficult with explicit threads
- Creation and management of threads can (and maybe should) preferably be done by compilers and run-time libraries rather than programmers

- Three methods will be explored (many methods exist)
  - Thread Pools
  - OpenMP
  - Grand Central Dispatch

- Other methods include Microsoft Threading Building Blocks (TBB), java.util.concurrent package
Thread Pools

- Create a number of threads in a pool where they await work

- Advantages:
  - Usually slightly faster to service a request with an existing thread than create a new thread
  - Allows the number of threads in the application(s) to be bound to the size of the pool
  - Separating task to be performed from mechanics of creating task allows different strategies for running task
    - e.g., tasks could be scheduled to run periodically

- Windows API supports thread pools:

```c
DWORD WINAPI PoolFunction(AVOID Param) {
    /*
    * this function runs as a separate thread.
    */
    
```
OpenMP

- Set of compiler directives and an API for C, C++, FORTRAN
- Provides support for parallel programming in shared-memory environments
- Identifies parallel regions – blocks of code that can run in parallel

```
#include <omp.h>
#include <stdio.h>

int main(int argc, char *argv[]) {
    /* sequential code */
    #pragma omp parallel
    {
        /* parallel region */
        printf("I am a parallel region.");
    }
    /* sequential code */
    return 0;
}
```

- #pragma omp parallel
  Create as many threads as there are cores

- #pragma omp parallel for
  for(i=0;i<N;i++) {
      c[i] = a[i] + b[i];
  }
  Run for loop in parallel
Grand Central Dispatch

- Apple technology for Mac OS X and iOS operating systems
- Extensions to C, C++ languages, API, and run-time library
- Allows identification of parallel sections
- Manages most of the details of threading
- A block is in “^{}” - `{ printf("I am a block"); }`
- Blocks placed in dispatch queue
  - removed from queue, and assigned to available thread in thread pool
- Two types of dispatch queues:
  - serial – blocks removed in FIFO order, queue is per process, called main queue
    - Programmers can create additional serial queues within program
  - concurrent – removed in FIFO order but several may be removed at a time
    - Three system wide queues distinguished by priorities: low, default, high

```c
dispatch_queue_t queue = dispatch_get_global_queue(
    DISPATCH_QUEUE_PRIORITY_DEFAULT, 0);

dispatch.async(queue, ^{ printf("I am a block."); });
```
Threading Issues

- Semantics of `fork()` and `exec()` system calls
- Signal handling
  - Synchronous and asynchronous
- Thread cancellation of target thread
  - Asynchronous or deferred
- Thread-local storage
- Scheduler Activations
Semantics of fork() and exec()

- Does `fork()` duplicate **only** the calling thread or **all** threads?
  - Some UNIXes have two versions of fork

- `exec()` usually works as normal – replace the running process including all threads
**Signal Handling**

- **Signals** are used in UNIX systems to notify a process that a particular event has occurred.

- A **signal handler** is used to process signals:
  1. Signal is generated by particular event
  2. Signal is delivered to a process
  3. Signal is handled by one of two signal handlers:
     1. default
     2. user-defined

- Every signal has a **default signal handler** that kernel runs when handling signal:
  - **User-defined signal handler** can override default
  - For single-threaded, signal delivered to process

- Where should a signal be delivered for multi-threaded?
  - Deliver the signal to the thread to which the signal applies
  - Deliver the signal to every thread in the process
  - Deliver the signal to certain threads in the process
  - Assign a specific thread to receive all signals for the process
Thread Cancellation

- Terminating a thread before it has finished
- Thread to be canceled is referred to as target thread
- Two general approaches:
  - Asynchronous cancellation terminates the target thread immediately
  - Deferred cancellation allows the target thread to periodically check if it should be cancelled

- Pthread code to create and cancel a thread:

```c
pthread_t tid;

/* create the thread */
pthread.create(&tid, 0, worker, NULL);

...  

/* cancel the thread */
pthread.cancel(tid);
```
Thread Cancellation (cont.)

- Invoking thread cancellation requests cancellation, but actual cancellation depends on thread state.

<table>
<thead>
<tr>
<th>Mode</th>
<th>State</th>
<th>Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>Off</td>
<td>Disabled</td>
<td>–</td>
</tr>
<tr>
<td>Deferred</td>
<td>Enabled</td>
<td>Deferred</td>
</tr>
<tr>
<td>Asynchronous</td>
<td>Enabled</td>
<td>Asynchronous</td>
</tr>
</tbody>
</table>

- If thread has cancellation disabled, cancellation remains pending until thread enables it.
- Default type is deferred.
  - Cancellation only occurs when thread reaches cancellation point.
    - i.e., `pthread_testcancel()`
    - Then cleanup handler is invoked.

- On Linux systems, thread cancellation is handled through signals.
Thread-Local Storage

- Threads of a process share the data of the process

- **Thread-local storage (TLS)** allows each thread to have its own copy of data

- Useful when you do not have control over the thread creation process (i.e., when using a thread pool)

- Different from local variables
  - Local variables visible only during single function invocation
  - TLS visible across function invocations

- Similar to *static* data
  - TLS is unique to each thread
Scheduler Activations

- Both M:M and Two-level models require communication to maintain the appropriate number of kernel threads allocated to the application.

- Typically use an intermediate data structure between user and kernel threads – lightweight process (LWP)
  - Appears to be a virtual processor on which process can schedule user thread to run
  - Each LWP attached to kernel thread
  - How many LWPs to create?

- Scheduler activations provide upcalls - a communication mechanism from the kernel to the upcall handler in the thread library

- This communication allows an application to maintain the correct number kernel threads.
Operating System Examples

- Windows XP Threads
- Linux Thread
Windows Threads

- Windows implements the Windows API – primary API for Win 98, Win NT, Win 2000, Win XP, and Win 7

- Implements the one-to-one mapping, kernel-level

- Each thread contains
  - A thread id
  - Register set representing state of processor
  - Separate user and kernel stacks for when thread runs in user mode or kernel mode
  - Private data storage area used by run-time libraries and dynamic link libraries (DLLs)

- The register set, stacks, and private storage area are known as the context of the thread

- The primary data structures of a thread include:
  - ETHREAD (executive thread block) – includes pointer to process to which thread belongs and to KTHREAD, in kernel space
  - KTHREAD (kernel thread block) – scheduling and synchronization info, kernel-mode stack, pointer to TEB, in kernel space
  - TEB (thread environment block) – thread id, user-mode stack, thread-local storage, in user space
Windows XP Threads Data Structures

ETEAD

- thread start address
- pointer to parent process
- scheduling and synchronization information
- kernel stack
- thread identifier
- user stack
- thread-local storage

KTHREAD

- scheduling and synchronization information
- kernel stack

TEB

- thread identifier
- user stack
- thread-local storage

Kernel space

User space
# Linux Threads

- Linux refers to them as **tasks** rather than **threads**

- Thread creation is done through `clone()`'s system call

- `clone()` allows a child task to share the address space of the parent task (process)
  - Flags control behavior

<table>
<thead>
<tr>
<th>flag</th>
<th>meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>CLONE_FS</td>
<td>File-system information is shared.</td>
</tr>
<tr>
<td>CLONE_VM</td>
<td>The same memory space is shared.</td>
</tr>
<tr>
<td>CLONE_SIGHAND</td>
<td>Signal handlers are shared.</td>
</tr>
<tr>
<td>CLONE_FILES</td>
<td>The set of open files is shared.</td>
</tr>
</tbody>
</table>

- **struct task_struct** points to process data structures (shared or unique)
End of Chapter 4